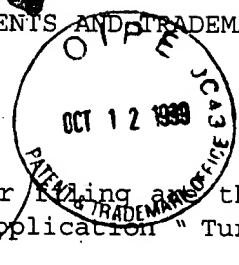


THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sector 3



Sir:

Transmitted herewith for filing are the Declaration and Power of Attorney and Assignment for Patent Application "Turbocharger with Wastegate".

Inventor: James A. McEwen

Serial No.: 09/361,612

Filing Date: 7/27/99

For: Turbocharger with Wastegate

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks. Washington D.C. 20231 on:

10-8-99  
Date of Deposit

Gary M. Gron  
Name of applicant, assignee or  
registered representative

*Gary M. Gron*  
Signature

10-8-99  
Date of Signature

Enclosed are:

- ☒ Form PTO 1533
- ☒ Signed Declaration and Power of Attorney
- ☒ Assignment
- ☒ Assignment recording form
- ☒ Certified Copy of the U.K. Patent # 9816275.3
- ☒ Please charge the assignment recording fee of \$40 & surcharge of \$130.00 to Deposit Account No. 03-3870. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fee which may be required, or credit any overpayment to Account No. 03-3870. A duplicate copy of this sheet is enclosed.

By *Gary M. Gron*  
Gary M. Gron  
Attorney of Record

Columbus, Indiana  
(812) 377-3554  
10/11/99  
Our File: 8-02-6004  
1:\patent\8-02-6004\trans1099.doc



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/361,612 07/27/99 MCEWAN J 8-02-6004

0242/0820

CUMMINS ENGINE COMPANY INC  
M C 60701  
BOX 3005  
COLUMBUS IN 47202-3005

NOT ASSIGNED

3745

DATE MAILED:

08/20/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130 *8-99*

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*K. Smith*  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

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